RICE FINANCIAL GROUP

NEW CLIENT FLOW CHART

START

Introductory phone call or e-mail.
Is there a reason for us to do business together?

First meeting
Meet and Greet

Questionnaire to client and schedule presentation meeting/meetings

Questionnaire and documents back to us

Advisor and paraplanner prepare summary of audit and recommendations

Client and Advisor meet to discuss strategic and tactical changes
(typically 1-3 meetings)

Take action on improvements

Enter client service model and schedule preferred review meeting time

Agree to constitution:

1. *We will respond to you in a timely manner*
2. *You will respond to us in a timely manner*
3. *We will always put your best interest first*
4. *We will not waste your time with pointless meetings or phone calls*

FINISH

Introduction to successful, friendly and open minded friends and peers like yourselves.